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Bruxelles, le 29 mai 2006 (30.05)
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Dossier interinstitutionnel:
2004/0158 (COD)

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PROPOSITION

Origine: Commission

Date de réception: 29 mai 2006

Objet: **Deuxième proposition modifiée de décision du Parlement européen et du Conseil établissant un programme communautaire pour l'emploi et la solidarité sociale – PROGRESS**

Les délégations trouveront ci-joint la proposition de la Commission, transmise par lettre de Monsieur Jordi AYET PUIGARNAU, Directeur, à Monsieur Javier SOLANA, Secrétaire général/Haut Représentant.

p.j.: COM(2004) 488 final



COMMISSION DES COMMUNAUTÉS EUROPÉENNES

Bruxelles, le 24.5.2006
COM(2004) 488 final/2

2004/0158 (COD)

Proposition modifiée d'une

DÉCISION DU PARLEMENT EUROPÉEN ET DU CONSEIL

**établissant un programme communautaire pour l'emploi et la solidarité sociale -
PROGRESS**

**Adaptation suite à l'accord du 17 mai 2006
sur le cadre financier 2007-2013**

(présentée par la Commission conformément à l'article 250, paragraphe 2, du traité CE)

Proposition modifiée d'une

DÉCISION DU PARLEMENT EUROPÉEN ET DU CONSEIL

**établissant un programme communautaire pour l'emploi et la solidarité sociale -
PROGRESS**

(Texte présentant de l'intérêt pour l'EER)

Le considérant 11 est remplacé par le suivant:

"La présente décision établit, pour l'ensemble de la durée du programme, une enveloppe financière qui doit constituer pour l'autorité budgétaire la référence privilégiée au sens de l'accord interinstitutionnel du 17 mai 2006 conclu entre le Parlement européen, le Conseil et la Commission sur la discipline budgétaire et la bonne gestion financière."

L'article 17, paragraphe 1, est remplacé par le suivant:

"1. L'enveloppe financière affectée à la mise en œuvre des activités communautaires visées par la présente décision pour la période s'étendant du 1^{er} janvier 2007 au 31 décembre 2013 s'établit à EUR 743,25 millions¹. Les crédits annuels sont autorisés par l'autorité budgétaire dans les limites des perspectives financières."

¹ Le montant indexé correspond à EUR 657,59 millions aux prix de 2004.

LEGISLATIVE FINANCIAL STATEMENT

Policy area(s): Employment, social affairs and equal opportunities

Activity: 0404 – Employment and social solidarity (2007 new nomenclature)

TITLE OF ACTION: ESTABLISHING A COMMUNITY PROGRAMME FOR EMPLOYMENT AND SOCIAL SOLIDARITY - PROGRESS

1. BUDGET LINE(S) + HEADING(S)

Financial Framework 2007-2013: Heading 1a.

Budget lines: 04.04 01 01 to 04.04 01 06 and 04.01 04 10.

2. OVERALL FIGURES

2.1. Total allocation for action): €743.25 million for commitment.

2.2. Period of application:

1/1/2007–31/12/2013.

2.3. Overall multi-annual estimate of expenditure:

(a) Schedule of commitment appropriations/payment appropriations (financial intervention)

(€ million to three decimal places)

	2007	2008	2009	2010	2011	2012	2013*	Total
Commitments	77.90	90.72	98.56	105.07	108.63	111.96	115.41	708.25
Payments	29.80	61.00	93.00	103.00	108.00	111.00	202.45	708.25

(b) Technical and administrative assistance and support expenditure

Commitments	5.0	5.0	5.0	5.0	5.0	5.0	5.0	35.0
Payments	5.0	5.0	5.0	5.0	5.0	5.0	5.0	35.0

Subtotal a+b								
Commitments	82.90	95.72	103.56	110.07	113.63	116.96	120.41	743.25
Payments	34.80	66.00	98.00	108.00	113.00	116.00	207.45	743.25

(c) Overall financial impact of human resources and other administrative expenditure

Commitments/ payments	23.83	23.83	23.83	23.83	23.83	23.83	23.83	166.81
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TOTAL a+b+c								
Commitments	106.73	119.55	127.39	133.90	137.46	140.79	144.24	910.06
Payments	58.63	89.83	121.83	131.83	136.83	139.83	231.28	910.06

* 2013 et seq. for payments.

2.4. Compatibility with financial programming and financial perspectives

X Proposal is compatible with next financial framework 2007-2013.

Proposal will entail reprogramming of the relevant heading in the financial perspectives.

Proposal may require application of the provisions of the Interinstitutional Agreement.

2.5. Financial impact on revenue:

X Proposal has no financial implications (involves technical aspects regarding implementation of a measure).

OR

Proposal has financial impact – the effect on revenue is as follows:

(NB All details and observations relating to the method of calculating the effect on revenue should be shown in a separate annex.)

(€ million to one decimal place)

Budget line		Revenue	Prior to action [Year n-1]	Situation following action						
				[Year n]	[n+1]	[n+2]	[n+3]	[n+4]	[n+5]	
		a) Revenue in absolute terms								
		b) Change in revenue	Δ							

3. BUDGET CHARACTERISTICS

Type of expenditure		New	EFTA contribution	Contributions from applicant countries	Heading in financial framework
Non-comp.	Diff.	NO	YES	YES	No 1a-...

4. LEGAL BASIS

Articles 13(2), 129 and 137(1)(a), (b), (j) and (k) of the Treaty.

5. DESCRIPTION AND GROUNDS

5.1. Need for Community intervention

5.1.1. Objectives pursued

The European Union has an important role to play in the development of a modern, innovative and sustainable European social model with more and better jobs in an inclusive society based on equal opportunities. Article 2 of the Treaty states that the Community shall have as its tasks, *inter alia*, to promote throughout the Community a high level of employment and of social protection and the raising of the standard of living and quality of life and economic and social cohesion.

In order to achieve those objectives, a number of problems have to be addressed:

- The Commission has to ensure that it has the necessary knowledge and understanding of the situation prevailing in the Member States in order to exercise its right of initiative;
- Effective policy coordination in the areas of employment and social protection/inclusion requires the development of statistical tools and methods and common indicators;
- The Commission has to ensure that the EU law and policy objectives are implemented in a uniform way in the Member States and should be able to assess their impact;
- Effective policy coordination in the areas of employment and social protection/inclusion requires the promotion of networking, mutual learning, identification and dissemination of good practice at EU level between institutional players;
- There is a clear need to increase awareness on the part of stakeholders and the general public about the EU policies pursued in the areas of employment and social protection/inclusion;
- At the same time, the key EU networks lack capacity to promote and support EU policies in the areas of employment and social protection/inclusion.

Examples of indicators and criteria for the evaluation are presented in the *ex-ante* evaluation.

5.1.2. Measures taken in connection with ex-ante evaluation

The DG EMPL multi-annual evaluation plan envisaged *ex-ante* evaluation of the programmes mainly in 2005. The context described above implies carrying out the *ex-ante* evaluation at an early stage. This *ex-ante* evaluation has been performed internally by the DG EMPL evaluation unit in cooperation with all units involved in the process of defining the programme.

5.1.2.1. Use of evaluation results and lessons from the past

In the context described above, the evaluation results available show how appropriate the different action supported to achieve the goals set in the Social Policy Agenda have been. Several innovative approaches have been evaluated. The evaluation results already published are presented in Annex 1 “Evaluation results” and Annex 2 “List of evaluations carried out”. The *ex-ante* evaluation pointed out the main *ex-post* and on-going results (p. 3).

5.1.2.2. Support for objective-setting

A “logic frame” derived from evaluation of the programmes has helped to structure the definition of general, specific and operational objectives of the Framework Programme.

The preparatory works have started just after the financial framework decision. Internal working groups have been set up with representatives of the units concerned, SPP and the evaluation unit.

5.1.2.3. Recommendations

In addition to supporting design of the policy, the *ex-ante* evaluation also aimed at identifying further action needed to implement the new Framework Programme. Recommendations were made, based on the conclusions. They are summarised in the table below, alongside the main conclusions. Further evaluation results will be reported and taken into account to implement the Framework Programme Decision. The evaluation report is annexed to the Commission Communication containing the proposal for the Programme Decision and the financial statement.

Conclusions	Recommendations for implementation
<p>Conclusion 1</p> <ul style="list-style-type: none">– The approach is relevant and justified regarding the overall objectives.– Action supported is effective and needs to be focused on activities that create the most EU added value.– There is an adequate level of efficiency with the current level of funding and it is necessary to merge the smaller budget lines (often created under EU Parliament pressure).– The proposals to improve and simplify the delivery mechanisms are appropriate and it is necessary to take into account the human resources constraints.– Compared with the previous period of implementation, the main change introduced is the reinforcement of certain types of activities needed to safeguard the Commission’s initiative role in promoting EU governance.	No specific recommendation.
<p>Conclusion 2</p> <p>The Framework Programme objectives have been designed to ensure more explicit targeting on the instruments/strategy needed to implement the EU objectives.</p>	

<p>Specific objectives have been set regarding the EU powers laid down in the Treaty. The value added regarding those specific objectives has been identified. Operational objectives have been set and examples of related indicators provided.</p> <p>The common framework for setting objectives will significantly reinforce the internal/external coherence of the activities financed at the level of the Commission. One of the main changes introduced compared with the 2001-2006 generation of programmes is to streamline the operations previously carried out under different budget lines into a single Framework Programme. That will allow greater budgetary coherence.</p> <p>Main stakeholders will be targeted, taking into account the experience from previous operations.</p>	<p>Attention should be paid to ensuring that simplification does not create a lack of visibility for each policy field.</p>
<p>Conclusion 3</p> <p>This Programme Decision bringing all the budget lines under the same framework will introduce much more coherent and complementary delivery of the activities. The delivery mechanisms have been examined in order to define them at an early stage but allowing the flexibility needed to ensure concentration on the most needed action. The monitoring and evaluation processes are defined to take account of the current experience with the Community action programmes.</p>	<p>In implementation of the Decision, attention should be paid to defining framework guidelines and the corresponding work programme and more specific definition of target operators and activities.</p>
<p>Conclusion 4</p> <p>In general the very specific nature of the Framework Programme action in the field of social policy will create no duplication or risk of overlap. Nevertheless, for closely linked operations, exchanges and mutual learning effects will continue to be supported. The Programme Decision includes a chapter to ensure complementarity and states that complementarity should be evaluated.</p>	<p>Special attention will be paid to avoiding overlap in action to be financed on an autonomous basis.</p>
<p>Conclusion 5</p> <p>Cost-effectiveness and EU value added have been taken fully into account in defining the approach. They have been the central concepts used to streamline the action under the responsibility of DG EMPL</p>	<p>No specific recommendation.</p>

5.1.3. Measures taken following ex post evaluation

Lessons from the available interim evaluations have been taken into consideration in the *ex-ante* evaluation.

5.2. Action envisaged and budget intervention arrangements

In order to achieve the general objectives described in point 5.1.1, it has been decided to establish a Framework Programme split into five sections corresponding to specific objectives relating to five different areas of activity:

- **Employment:** Section 1 will support implementation of the European Employment Strategy based on the Treaty.
- **Social protection and inclusion:** Section 2 will support implementation of the open method of coordination in the field of social protection and inclusion.

- **Working conditions:** Section 3 will support improvement of the working environment and conditions, including health and safety at work, as enshrined in the Treaty.
- **Anti-discrimination and diversity:** Section 4 will support effective implementation of the principle of non-discrimination on the grounds of racial or ethnic origin, religion or belief, disability, age or sexual orientation, as enshrined in the Treaty, and promote the benefits of diversity. It will also promote mainstreaming of anti-discrimination in EU policies.
- **Gender equality:** Section 5 will support effective implementation of the principle of gender equality, as enshrined in the Treaty, and promote gender mainstreaming in EU policies.

Each of these specific objectives has then been further specified in operational objectives, as mentioned in the Decision (see Articles 4 to 9).

In order to achieve the main, specific and operational objectives, the Decision establishing the Framework Programme provides for a wide range of possible measures. They can be classified into three different types:

- **Analytical and mutual learning activities:** This type of action will cover carrying out studies, surveys, evaluations and peer reviews, preparing guides and reports and developing common methodologies and indicators.
- **Awareness and dissemination activities:** This type of action will cover, *inter alia*, organising conferences to support implementation of Community law and policy objectives, media campaigns, events and training seminars.
- **Support for main players:** This type of action will cover financing key EU networks operating in at least one section of the Framework Programme, funding networks of experts and institutional bodies, EU-level observatories, training seminars for legal practitioners, etc.

A detailed presentation of the practical action envisaged under each section and their link with the operational objectives is included in the table in point 6.2.

5.3 Methods of implementation

The abovementioned action may be financed:

- either by a service contract following a call for tenders;
- or by a part-subsidy following a call for proposals. In this case, as a general rule the EU co-financing may not exceed 80% of the total expenditure incurred by the recipient. Any subsidy in excess of this ceiling may be granted only under exceptional circumstances and after close scrutiny.

The Commission will implement this Framework Programme and may have recourse to technical and/or administrative assistance to the mutual benefit of the Commission and of the beneficiaries, for example to finance outside expertise on a specific subject.

6. FINANCIAL IMPACT

6.1. Total financial impact on Part B (over the entire programming period)

6.1.1. Financial intervention

The envisaged breakdown, indicated below, is subject to modifications during the programming period following decisions from the Programme Committee. The minimum share for each section is indicated in Article 17 of the Decision.

Commitments (in € million to three decimal places)

Breakdown	2007	2008	2009	2010	2011	2012	2013	Total
Section 1: Employment	18.90	21.90	23.92	25.30	26.23	27.00	27.70	170.95
Section 2: Social protection and inclusion	23.70	28.83	31.20	33.15	34.48	35.21	36.40	222.97
Section 3: Working conditions	10.40	9.47	10.26	10.90	10.94	11.04	11.31	74.32
Section 4: Anti-discrimination and diversity	20.70	21.67	23.62	25.20	25.73	26.73	27.32	170.97
Section 5: Gender equality	7.60	11.30	12.56	13.52	14.20	14.88	15.13	89.19
Support for implementation of the Programme	1.60	2.55	2.00	2.00	2.05	2.10	2.55	14.85
TOTAL	82.90	95.72	103.56	110.07	113.63	116.96	120.41	743.25

6.1.2. Technical and administrative assistance, support expenditure and IT expenditure (commitment appropriations)

	2007	2008	2009	2010	2011	2012	2013	Total
1) Technical and administrative assistance								
a) Technical assistance offices								

b) Other technical and administrative assistance: - <i>intra muros</i> : - <i>extra muros</i> : <i>of which for construction and maintenance of computerised management systems</i>								
Subtotal 1								
2) Support expenditure								
a) Studies	0.8	0.8	0.8	0.8	0.8	0.8	0.8	5.6
b) Meetings of experts	1.5	1.5	1.5	1.5	1.5	1.5	1.5	10.5
c) Information and publications	2.3	2.3	2.3	2.3	2.3	2.3	2.3	16.1
d) Other	0.4	0.4	0.4	0.4	0.4	0.4	0.4	2.8
Subtotal 2	5.0	5.0	5.0	5.0	5.0	5.0	5.0	35.0
TOTAL	5.0	35.0						

6.2. Calculation of costs by measure envisaged in Part B (over the entire programming period)

Commitments (in € million to three decimal places)

Breakdown	Type of outputs (projects, files)	Number of outputs (total for years 1...n)	Average unit cost	Total cost (total for years 1...n)
	1	2	3	4=(2X3)
Section 1: Employment				
- <i>Improving the understanding of the employment situation, in particular through analyses and studies and the development of statistics and indicators.</i>	Collection, development and dissemination of data and statistics	49	0.600	29.400
- <i>Monitoring and evaluating implementation of the European Employment Guidelines and Recommendations and analysing the interaction between the EES and other policy areas.</i>	Carrying-out of studies, analyses and surveys	70	0.500	35.000
	Impact assessment studies	21	0.700	14.700

- Organising exchanges on policies and promoting mutual learning between institutional players in the context of the European Employment Strategy.	Identification of best practices and organisation of peer review projects	35	1.000	35.000
- Raising awareness, disseminating information and promoting the debate about the employment challenges and policies, including among regional and local players, social partners and other stakeholders.	Organisation of conferences/seminars in support of implementation of Community law and policy objectives, Presidency conferences/seminars and events	80	0.242	19.400
	Preparation and publication of guides and reports	21	0.350	7.350
	Funding of EU-level observatories	7	2.500	17.500
	Cooperation with international institutions	21	0.300	6.300
	Development and dissemination of common methodologies and indicators/benchmarks	21	0.300	6.300
				170.950
Section 2: Social protection and inclusion				
- Improving the understanding of poverty issues, social protection and inclusion policies, in particular through analyses and studies and the development of statistics and indicators.	Funding of surveys (Eurobarometer, special modules of LFS)	7	1.000	7.000
	Co-funding EU-SILC	7	7.000	49.000
	Common methodologies and indicators – workshops	7	0.100	0.700
	Support the development of statistical bases (ESSPRO, etc.)	7	0.850	5.950
	Development of new indicators	7	0.831	5.820
	Studies, analyses and dissemination of results	28	0.300	8.400
	Funding of the pension forum	7	0.300	2.100
	Joint projects with other international institutions (OECD, WHO, UNDP, ILD)	5	0.400	2.000

<p><i>- Monitoring and evaluating implementation of the Open Method of Coordination in the field of social protection and inclusion and analysing the interactions between this OMC and other policy areas.</i></p>	Evaluation of OMC (workshops)	7	0.100	0.700
	Evaluation of OMC (studies)	7	0.300	2.100
	Funding of EU observatory on social services	7	0.500	3.500
	Funding of MISSOC network	7	0.800	5.600
	Funding of EU experts' network on social inclusion	7	1.000	7.000
<p><i>- Organising exchanges on policies and processes and promoting mutual learning between institutional players in the context of the social protection and inclusion strategy.</i></p>	Peer review seminars	63	0.200	12.600
	Presidency conferences	28	0.300	8.400
	Round table on poverty/exclusion	7	0.500	3.500
<p><i>- Raising awareness, disseminating information and promoting the debate about the key challenges and policy issues raised in the context of the EU coordination process in the field of social protection and social inclusion, including among NGOs, regional and local players and other stakeholders.</i></p>	Organisation of media campaigns and events: - support for awareness-raising events	7	5.000	35.000
	- EU-level seminars, conferences, media campaigns	3	3.000	9.000
	Networking among specialised institutional bodies at EU level	7	3.000	21.000
	Compilation and publication of materials to disseminate information and results	7	0.500	3.500
	Publication of reports on national strategies (health and pensions)	7	0.100	0.700
	Reports on social inclusion	7	0.100	0.700
	Joint report on social protection and social inclusion	7	0.100	0.700

	Exchange of personnel between national/regional administrations	7	0.500	3.500
- Developing the capacity of key EU umbrella networks to pursue EU policy goals.	EU networks on poverty/social exclusion	7	3.500	24.500
				222.970
Section 3: Working conditions				
- Improving the understanding of the situation in relation to working conditions, in particular through analyses and studies, the development of statistics and indicators and assessing the impact of existing legislation, policies and practices.	Carrying-out of studies and impact assessments	33	0.200	6.600
	Grants for research projects	60	0.150	9.000
	Conferences, meetings and workshops at EU or national levels	40	0.100	4.000
	Carrying-out of surveys and research projects	25	0.250	6.250
	Statistics on accidents and illness at work	7	0.400	2.800
	Guides on good practices	7	0.150	1.050
	Funding of surveys (LFS module about accidents and illness at work)	1	1.600	1.600
- Supporting implementation of EU labour law through reinforced monitoring, training of practitioners, development of guides and networking amongst specialised bodies.	Carrying-out of studies and analyses	21	0.120	2.520
	Grants	70	0.110	7.700
	Conferences and seminars	42	0.085	3.570
- Initiating preventive action and fostering the prevention culture in the field of health and safety at work.	Grants	21	0.100	2.100
	Health and safety campaigns	35	0.080	2.800
	Exchange of inspectors	7	0.076	0.530
	Meetings/initiatives of the Advisory Committee on Health and Safety at work and of working groups	224	0.050	11.200

<i>- Raising awareness, disseminating information and promoting the debate about key challenges and policy issues in relation to working conditions.</i>	Organisation of conferences, seminars and workshops	70	0.100	7.000	
	Grants	35	0.100	3.500	
	Publications	42	0.050	2.100	
				74.320	
Section 4: Anti-discrimination and diversity <i>- Improving the understanding of the situation in relation to discrimination, in particular through analyses and studies, the development of statistics and indicators and assessing the impact of existing legislation, policies and practices.</i>	Funding of expert groups covering discrimination on all grounds	7	1.500	10.500	
	Carrying-out of studies, analyses and surveys and dissemination of the results	21	0.500	10.500	
	Preparation and publication of guides and reports on studies	28	0.150	4.200	
	Conferences/seminars to identify best practices and organisation of peer review	7	0.300	2.100	
	Collection, development and dissemination of data and statistics	3	1.000	3.000	
	<i>- Supporting implementation of EU anti-discrimination legislation through reinforced monitoring, training of practitioners and networking amongst institutional players, including specialised bodies dealing with anti-discrimination.</i>	Training seminars for legal practitioners, key officials and other relevant players	98	0.200	19.600
		Support for working groups of national officials to monitor implementation of EU law and policy objectives	7	0.300	2.100
		Support for networking among specialised bodies	7	0.300	2.100
	<i>- Raising awareness, disseminating information and promoting the debate about the key challenges and policy issues in relation to discrimination and mainstreaming of anti-discrimination in EU policies.</i>	Media campaign	7	5.500	38.500
		National conferences and seminars in support of awareness-raising (including disability-specific)	175	0.150	26.250

	Presidential conferences/seminars (including disability-specific)	21	0.300	6.300
	Compilation and publication of materials to disseminate information and results	7	0.800	5.600
<i>- Developing the capacity of key EU networks to pursue EU policy goals.</i>	Support for running costs (subsidies):			
	- umbrella networks representing and defending the rights of people potentially exposed to discrimination	35	1.000	35.000
	- other smaller key networks	35	0.149	5.220
				170.970
<u>Section 5: Gender equality</u>				
<i>- Improving the understanding of the situation in relation to gender issues and gender mainstreaming, in particular through analyses, studies and results, the development of statistics and indicators and assessing the impact of existing legislation, policies and practices.</i>	Policy analysis and impact assessment: Experts' networks	14	0.700	9.800
	Development of harmonised statistics and common indicators	14	0.700	9.800
	Analytical studies	7	0.800	5.600
<i>- Supporting implementation of EU gender equality legislation through reinforced monitoring, training of practitioners and networking amongst institutional players, including equality bodies.</i>	Legal experts' network	7	0.700	4.900
	Seminars	7	0.500	3.500
<i>- Raising awareness, disseminating information and promoting the debate about the key challenges and policy issues raised in relation to gender equality and gender mainstreaming.</i>	European conferences	21	0.550	11.550
	Awareness-raising activities	63	0.465	29.300
	Dissemination of information, publications	7	0.500	3.500
<i>- Developing the capacity of key EU networks to pursue EU policy goals.</i>	EU networks of NGOs	21	0.535	11.240

Support for implementation of the Programme - Forum on the Social Agenda. - Evaluation of the Programme. - Programme Committee.				89.190
	Meetings	7	0.500	3.500
	Studies	8	1.000	8.000
	Meetings	95	0.035	3.350
TOTAL COST				743.250

7. IMPACT ON STAFF AND ADMINISTRATIVE EXPENDITURE

7.1. Impact on human resources

Types of post	Staff to be assigned to management of the action using existing and/or additional resources		Total	Description of tasks deriving from the action
	Number of permanent posts	Number of temporary posts		
Officials or temporary staff	133	9	142	<i>Includes all staff involved in the fields covered by PROGRESS, also staff working on legislative proposals, operational management of the programme, selection of the procurement and grant proposals, financial operations, evaluation, etc.</i>
Other human resources		46	46	
Total	133	55	188	

7.2. Overall financial impact of human resources

Type of human resources	Amount (€)	Method of calculation*
Officials	€14.36 million	- Number of staff based on B 2004 + pre-allocation of APS 2005
Temporary staff	€0.97 million	- standard average cost: €108 000
Other human resources	€3.60 million	Average cost based on B2004 budget lines 04.010201 and 04.010405
Total	€18.93 million	

The amounts are total expenditure for twelve months.

7.3. Other administrative expenditure deriving from the action

Budget line (number and heading)	Amount (€)	Method of calculation
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Overall allocation (budget line 04.010211) A0701 – Missions A07030 – Meetings A07031 – Compulsory committees ¹ A07032 – Non-compulsory committees ¹ A07040 – Conferences A0705 – Studies and consultations Other expenditure (specify)	€4.7 million	Estimated share of Framework Programme in administrative expenditure on policy area 04: 65%
Information systems (A-5001/A-4300)	€0.1 million	
Other expenditure - Part A: training	€0.1 million	<i>Pro rata</i> share of B2004 staff working on Framework Programme
Total	€4.9 million	

The amounts are total expenditure for twelve months.

¹ Specify the type of committee and the group to which it belongs.

I.	Annual total (7.2 + 7.3)	€23.83 million
II.	Duration of action	7 years
III.	Total cost of action (I x II)	€166.81 million

The needs for human and administrative resources will be covered from the allocation granted to the managing DG in the framework of the annual allocation procedure.

8. FOLLOW-UP AND EVALUATION

8.1. Follow-up arrangements

The monitoring arrangements are described in Article 19 of the proposal.

The impact of the **operational objectives** will be measured by their contribution to attainment of each specific objective. The main factor to assess the success of the action financed will be the EU value added generated (see chapter 6 of *ex-ante* evaluation “EU value added”).

Indicators will be put in place to monitor efficiency and effectiveness with attainment of the operational objectives. The output indicators are directly related to the specific activity carried out. They will be defined in terms of number of projects, main characteristics and cost.

The Framework Programme will be monitored regularly in order to track implementation of the activities carried out under each section. Annual activity reports will be transmitted to the Framework Programme Committee referred to in Article 13 of this Decision, in order to inform its members of the progress made and discuss any necessary redirection.

Examples of indicators and criteria for the evaluation are presented in the *ex-ante* evaluation.

Example of type of action to achieve the operational objectives	Example of type of outcome indicators	Example of type of impact indicators
Analytical and mutual learning		
Development of indicators	Number of indicators defined in a common format	Use in the discussion on achievement of the related objective
Collection and disseminations of data	Number of indicators met, robustness of data collection (validity, margin of error, etc.), use of databases	Results available through Europe (such as Euro barometer), Robustness of comparisons through EU
Studies Evaluation Impact assessment	% of topics covered Coverage rate of surveys Key issues and relevance of recommendations	Coverage of publication Use in the programming decisions
Seminar/peer review	Number and type of participants Satisfaction rate Type of learning effects	Progress made in practice towards achieving the related objective
Awareness and dissemination activities		
EU-level conferences Events	Number and type of participants Satisfaction rate Type of learning effects	Coverage of the event (media) Publication on the related subject Quality of the message communicated Policy-making influence
Publication	Coverage of potential beneficiaries	% of target population addressed Quality of the message communicated
Support for the main players		
EU organisation Networks	Coverage at EU level Number and representatives of supporting organisations and Member States Lessons learned	Effects of the exchanges/transfer of information to organisations and other main stakeholders
Training courses/seminars/exchanges between officials	Number and type of participants Satisfaction rate Type of learning effects	Progress made in practice towards achieving the related objective
Expert networks	Number of participants Satisfaction rate Type of learning effects	Publication on the related subject Policy-making influence
EU-level observatories	% of EU topics covered Coverage rate of EU surveys Key EU issues and relevance of recommendations	Publication on the related subject Policy-making influence
Cooperation with international institutions	Number/coverage of shared topics	Adoption of common objectives

8.2. Arrangements and schedule for the planned evaluation

The evaluation arrangements are described in Article 19 of the proposal.

The impact of **specific objectives** will depend on how effectively they contribute to attainment of the overall objective in Europe.

The objectives of the programme are qualitative. The type of effect it will have will be improvement of EU governance through better understanding, monitoring, exchange and dissemination of EU policy objectives.

The Framework Programme will also be subject to an on-going evaluation of the different sections plus an overview on the Programme in order to measure the progress made in terms of the impact of the objectives of the Programme and its EU added value. These evaluations will be carried out by the Commission with the assistance of external experts. Once available, the results will be published in the activity reports.

An *ex-post* evaluation of the whole Framework Programme will be carried out by the Commission, with the assistance of external experts, one year after the Framework Programme ends. It will be transmitted to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions.

9. ANTI-FRAUD MEASURES

The Commission will ensure that, when action financed under this decision is implemented, the financial interests of the Community are protected by the application of preventive measures against fraud, corruption and any other illegal activities, by effective checks and by recovery of the amounts unduly paid and, if irregularities are detected, by effective, proportional and dissuasive penalties, in accordance with Council Regulations (EC, Euratom) No 2988/95 and (Euratom, EC) No 2185/96 and with Regulation (EC) No 1073/1999 of the European Parliament and of the Council.

For the Community action financed under this decision, the term “irregularity” referred to in Article 1(2) of Regulation (EC, Euratom) No 2988/95 means any infringement of a provision of Community law or any breach of a contractual obligation resulting from an act or omission by an economic operator, which has, or would have, the effect of prejudicing the general budget of the Communities or budgets managed by them, by an unjustifiable item of expenditure.

Contracts and agreements, including agreements with participating non-EU countries, resulting from this decision must provide, in particular, for supervision and financial control by the Commission (or any representative authorised by it) and audits by the Court of Auditors, if necessary on-the-spot.