

COUNCIL OF THE EUROPEAN UNION

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> DENLEG 133 CODEC 1419

NOTE

NOTE	
from:	Permanent Representatives Committee (Part I)
to:	COUNCIL
No. prev. doc	15862/07 DENLEG 126 CODEC 1370
No. Cion prop.:	12182/06 DENLEG 43 CODEC 830
Subject :	 Proposal for a Regulation of the European Parliament and of the Council on flavourings and certain food ingredients with flavouring properties for use in foods and amending Council Regulation (EEC) No 1576/89, Council Regulation (EEC) No 1601/91, Regulation (EC) No 2232/96 and Directive 2000/13/EC (LA) (*) Political Agreement
	[Public deliberation, pursuant to Article 8(1)(b) CRP]

- On 28 July 2006, the Commission submitted to the Council the above proposal¹, based on Article 95 of the EC Treaty, as part of four proposals designed to overhaul the Community's rules on food improvement agents. The proposal aims at updating the Community rules on flavourings and certain food ingredients with flavouring properties to take into account technological and scientific developments in this area.
- 2. The Economic and Social Committee adopted its opinion on 25 April 2007^2 .

¹ Doc.12182/06, COM(2006)427 final

² EESC/2007/604, OJ C 168, 20.7.2007, p. 29

- 3. The European Parliament has given its opinion in first reading on 10 July 2007^3 .
- 4. On 23 July 2007, the COREPER agreed on most of the text, with the exception of the following issues:
 - Article 5 proposal to exempt herbs and spices from the scope of the proposal when they are used in restaurants,
 - Article 14 and corresponding recital 22 minimum percentage of the flavouring component from the source material referred to, when using the term 'natural' and
 - Annex III part B setting of maximum limits for Coumarin and for Teucrin A for certain food categories.
- 5. Following the European Parliament's first reading opinion, the Commission submitted its amended proposal on 24 October 2007⁴.
- 6. The text agreed by Coreper on 23 July 2007 has been further examined by Counsellors/Attachés with a view to resolve existing outstanding issues and improve its coherence and clarity.
- 7. Coreper endorsed the text at its meeting on 4 December 2007.
- 8. The Council is invited to:
 - reach a political agreement on this proposal in the form of the text in the annex to this note at its meeting on 17/18 December 2007 with a view to adopting the common position at a later session, pending finalisation of the text by legal-linguistic experts;
 - enter into the Council's minutes the joint statement by the Council and the Commission set out in the Annex VI of the annex to this note.

³ P6_TA(2007)0321

⁴ Doc. 14509/07, COM(2007)671 final

Proposal for a

REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

on flavourings and certain food ingredients with flavouring properties for use in and on foods and amending Council Regulation (EEC) No 1576/89, Council Regulation (EEC) No 1601/91, Regulation (EC) No 2232/96 and Directive 2000/13/EC

(Text with EEA relevance)

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Article 95 thereof.

Having regard to the proposal from the Commission⁵,

Having regard to the opinion of the European Economic and Social Committee⁶,

Acting in accordance with the procedure laid down in Article 251 of the Treaty,

Whereas:

(1) Council Directive 88/388/EEC of 22 June 1988 on the approximation of the laws of the Member States relating to flavourings for use in foodstuffs and to source materials for their production⁷ needs to be updated in the light of technical and scientific developments. In the interests of clarity and efficiency Directive 88/388/EEC should be replaced by the present Regulation.

⁵ OJ C , , p. .

⁶ OJ C , , p. .

OJ L 184, 15.7.1988, p. 61. Directive as last amended by Regulation (EC) No 1882/2003 of the European Parliament and of the Council (OJ L 284, 31.10.2003, p. 1).

- (2) Council Decision 88/389/EEC of 22 June 1988 on the establishment, by the Commission, of an inventory of the source materials and substances used in the preparation of flavourings⁸ provides for the establishment of that inventory within 24 months of its adoption. That Decision is now obsolete and should be repealed.
- (3) Commission Directive 91/71/EEC of 16 January 1991 completing Council Directive 88/388/EEC on the approximation of the laws of the Member States relating to flavourings for use in foodstuffs and to source materials for their production⁹ lays down rules on the labelling of flavourings. These rules are being replaced by the present Regulation and the Directive should now be repealed.
- (4) The free movement of safe and wholesome food is an essential aspect of the internal market and contributes significantly to the health and well being of citizens and to their social and economic interests.
- (5) In order to protect human health the scope of this Regulation should cover flavourings, source materials for flavourings and foods containing flavourings. It should also cover certain food ingredients with flavouring properties which are added to food for the main purpose of adding flavour and which contribute significantly to the presence in food of certain naturally occurring undesirable substances ('food ingredients with flavouring properties'), their source material and foods containing them.
- (5a) Raw foodstuffs, which have not undergone any processing treatment and non compound foodstuffs such as spices, herbs, teas and infusions (e.g. fruit or herbal tea) as well as mixtures of spices and/or herbs, mixtures of tea and mixtures for infusion, as long as they are consumed as such and/or not added to the food, do not fall under the scope of this regulation.

⁸ OJ L 184, 15.7.1988, p. 67.

⁹ OJ L 42, 15.2.1991, p. 25.

- (6) Flavourings and food ingredients with flavouring properties may only be used if they fulfil the criteria laid down in this Regulation. They must be safe when used, and certain flavourings should, therefore, undergo a risk assessment before they can be permitted in food. They should not mislead the consumer and their presence in food should, therefore, always be indicated by appropriate labelling. Misleading the consumer includes, but is not limited to, issues related to the nature, freshness, quality of ingredients used, the naturalness of a product or of the production process, or the nutritional quality of the product. The approval of flavourings should also take into account other factors relevant to the matter under consideration including societal, economic, traditional, ethical and environmental factors and the feasibility of controls.
- (7) Since 1999, the Scientific Committee on Food and subsequently the European Food Safety Authority ("the Authority") has expressed opinions on a number of substances occurring naturally in source materials for flavourings and food ingredients with flavouring properties¹⁰ which, according to the Committee of Experts on Flavouring Substances of the Council of Europe, raise toxicological concern. Substances for which the toxicological concern was confirmed by the Scientific Committee on Food should be regarded as undesirable substances which should not be added as such to food.
- (8) Due to their natural occurrence in plants, undesirable substances might be present in flavouring preparations and food ingredients with flavouring properties. The plants are used traditionally as food or food ingredients. Appropriate maximum levels should be established for the presence of these undesirable substances in foods which contribute most to the human intake of these substances, taking into account both the need to protect human health and their unavoidable presence in traditional foods.

¹⁰ http://europa.eu.int/comm/food/food/chemicalsafety/flavouring/scientificadvice_en.htm.

- (8a) Maximum levels for certain naturally occurring undesirable substances should focus on the food or food categories which contribute most to dietary intake. This would allow Member States to organise controls on a risk basis in line with Regulation (EC) No. 882/2004 of the European Parliament and of the Council of 29 April 2004 on official controls performed to ensure the verification of compliance with feed and food law, animal health and animal welfare rules¹¹. Food producers are however obliged to take into account the presence of these substances when using food ingredients with flavouring properties and /or flavourings for preparation of all food to ensure that food which is not safe is not placed on the market.
- (9) Provisions should be established at Community level in order to prohibit or restrict the use of certain plant, animal, microbiological or mineral materials which raise concern for human health in the production of flavourings and food ingredients with flavouring properties and their applications in food production.
- (10) Risk assessments should be carried out by the Authority, established by Regulation (EC)
 No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety¹².
- (11) In order to ensure harmonisation, the risk assessment and approval of flavourings and source materials that need to undergo an evaluation should be carried out in accordance with the procedure laid down in Regulation (EC) No [...] establishing a common approval procedure for food additives, food enzymes and food flavourings¹³.

¹¹ OJ L 165, 30.4.2004, p.1. Corrected version (OJ L 191, 28.5.2004, p.1).

¹² OJ L 31, 1.2.2002, p. 1. Regulation as last amended by Regulation (EC) No 1642/2003 (OJ L 245, 29.9.2003, p. 4).

¹³ OJ L [...], [...], p [...].

- (12) Flavouring substances are defined chemical substances, which include flavouring substances obtained by chemical synthesis or isolated using chemical processes, and natural flavouring substances. An evaluation programme of flavouring substances is ongoing in accordance with Regulation (EC) No 2232/96 of the European Parliament and of the Council of 28 October 1996 laying down a Community procedure for flavouring substances used or intended for use in or on foodstuffs¹⁴. Under that Regulation a list of flavouring substances is to be adopted within five years of adoption of that programme. A new deadline should be set for the adoption of that list. That list will be proposed for inclusion in the list referred to in Article 2(1) of Regulation (EC) No [...].
- (13) Flavouring preparations are flavourings other than defined chemical substances obtained from materials of vegetable, animal or microbiological origin, by appropriate physical, enzymatic or microbiological processes, either in the raw state of the material or after processing for human consumption. Flavouring preparations produced from food do not need to undergo an evaluation or an approval procedure for use in and on foods unless there is doubt about their safety. However, the safety of flavouring preparations produced from non-food material should be evaluated and approved.
- (14) Regulation (EC) No 178/2002 defines food as any substance or product, whether processed, partially processed or unprocessed, intended to be, or reasonably expected to be ingested by humans. Materials of vegetable, animal or microbiological origin, for which it can be sufficiently demonstrated that hitherto they have been used for the production of flavourings, are considered as food materials for this purpose, even though some of these source materials, such as rose wood, and strawberry leaves, may not have been used for food as such. They do not need to be evaluated.

¹⁴ OJ L 299, 23.11.1996, p. 1. Regulation as last amended by Regulation (EC) No 1882/2003 (OJ L 284, 31.10.2003, p. 1).

- (15) Likewise, thermal process flavourings produced from food under specified conditions need not undergo an evaluation or an approval procedure for use in and on foods unless there is doubt about their safety. However, the safety of thermal process flavourings produced from non-food material or not complying with certain conditions of production should be evaluated and approved.
- (16) Regulation (EC) No 2065/2003/EC of the European Parliament and of the Council of 10 November 2003 on smoke flavourings used or intended for use in or on foods¹⁵, lays down a procedure for the safety assessment and approval of smoke flavourings and aims to establish a list of primary smoke condensates and primary tar fractions the use of which is authorised to the exclusion of all others.
- (17) Flavour precursors such as carbohydrates, oligo-peptides and amino acids impart flavour to food by chemical reactions which occur during food processing. Flavour precursors produced from food do not need to undergo an evaluation or an approval procedure for use in and on foods unless there is doubt about their safety. However, the safety of flavour precursors produced from non-food material should be evaluated and approved.
- (18) Other flavourings which do not fall under the definitions of the previously mentioned flavourings may be used in and on foods after they have undergone an evaluation and approval procedure. Examples could be flavourings obtained by heating oil or fat for a very short period to an extremely high temperature, giving a grill like flavour.
- (19) Material of vegetable, animal, microbiological or mineral origin other than food may only be authorised for the production of flavourings after its safety has been evaluated scientifically. It might be necessary to authorise the use of only certain parts of the material or to set conditions of use.

¹⁵ OJ L 309, 26.11.2003, p. 1.

- (19a) Flavourings can contain food additives as permitted by [Regulation...on food additives] and/or other food ingredients for technological purposes such as for their storage, standardization, dilution or dissolution and stabilization.
- (20) A flavouring or a source material which falls under the scope of Regulation (EC) No 1829/2003 of the European Parliament and of the Council of 22 September 2003 on genetically modified food and feed¹⁶ should be subject to the authorisation procedure under that Regulation with regard to the safety assessment of the genetic modification, while the final authorisation of the flavouring or source material should be granted under this Regulation.
- (20a) Flavourings remain subject to the general labelling obligations as provided for in Directive 2000/13/EC of the European Parliament and of the Council of 20 March 2000 on the approximation of the laws of the Member States relating to the labelling, presentation and advertising of foodstuffs¹⁷ and, as the case may be, in Regulations (EC) No 1829/2003 and Regulation (EC) No 1830/2003 of the European Parliament and of the Council of 22 September 2003 concerning the traceability and labelling of genetically modified organisms and the traceability of food and feed products produced from genetically modified organisms and amending Directive 2001/18/EC¹⁸. In addition, specific provisions on labelling of flavourings sold as such to the manufacturer or to the final consumer should be contained in this Regulation
- (21) Flavouring substances or flavouring preparations should only be labelled as 'natural' if they comply with certain criteria which ensure that consumers are not misled.

¹⁶ OJ L 268, 18.10.2003, p. 1.

¹⁷ OJ L 109, 6.5.2000, p.29. Directive as last amended by Directive 2003/89/EC (OJ L 308, 25.11.2003, p.15).

¹⁸ OJ L 268, 18.10.2003, p.24.

- (22) Specific information requirements should ensure that consumers are not misled concerning the source material used for the production of natural flavourings. In particular, if the term natural is used to describe a flavour, the flavouring components used should entirely be from natural origin. In addition, the source of the flavourings should be labelled, except when the source materials referred to would not be recognized in the flavour or taste of the food. If a source is mentioned, at least 95 % of the flavouring component should be obtained from the material referred to. The other maximum 5 % can only be used for standardisation or to give a more fresh, pungent, ripe, green etc. note to the flavouring. When less than 95 % of the flavouring component derived from the source referred to has been used and the flavour of the source can still be recognised, the source should be revealed with the mentioning that other natural flavourings have been added. E.g. cacao extract in which other natural flavourings have been added to impart banana note. When a source material is claimed in the description of natural flavourings, the fraction of the flavouring component other than that derived from this particular source should not reproduce or imitate the flavour of the source referred to.
- (23) Consumers should be informed if the smoky taste of a particular food is due to the addition of smoke flavourings. In accordance with Article 5 of Directive 2000/13/EC, the labelling should not confuse the consumer as to whether the product is smoked conventionally with fresh smoke or treated with smoke flavourings. This Directive needs to be adapted to the definitions of flavourings, smoke flavourings and the term 'natural' for the description of flavourings laid down in the present Regulation.
- (24) For the evaluation of the safety of flavouring substances for human health, information on the consumption and use of flavouring substances is crucial. The amounts of flavouring substances added to food should therefore be checked on a regular basis.

- (25) The measures necessary for the implementation of this Regulation should be adopted in accordance with Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission¹⁹.
- (25a) In particular power should be conferred on the Commission to amend the Annexes to this Regulation and to adopt appropriate transitional measures regarding the establishment of the Community list. Since those measures are of general scope and are designed to amend nonessential elements of this Regulation, and/or to supplement it by the addition of new nonessential elements, they must be adopted in accordance with the regulatory procedure with scrutiny provided for in Article 5a of Decision 1999/468/EC.
- (25b) When, on imperative grounds of urgency, the normal time-limits for the regulatory procedure with scrutiny cannot be complied with, the Commission should be able to use the urgency procedure provided for in Article 5a(6) of Decision 1999/468/EC for the adoption of measures described in Article 7 paragraph 2 and amendments to Annexes II to V.
- (26) Annexes II to V to this Regulation should be adapted as necessary to scientific and technical progress, taking into account the information provided by producers and users of flavourings and/or resulting from the monitoring and controls by the Member States.

¹⁹ OJ L 184, 17.7.1999, p. 23. Decision as last amended by Decision 2006/512/EC (OJ L 200, 22.7.2006, p.11).

- (27) In order to develop and update Community legislation on flavourings in a proportionate and effective way, it is necessary to collect data, share information and coordinate work between Member States. For that purpose, it may be useful to undertake studies to address specific issues with a view to facilitating the decision-making process. It is appropriate that the Community finance such studies as part of its budgetary procedure. The financing of such measures is covered by Regulation (EC) No 882/2004 of the European Parliament and of the Council of 29 April 2004 on official controls performed to ensure the verification of compliance with feed and food law, animal health and animal welfare rules²⁰ and consequently the legal basis for the financing of the above measures will be Regulation (EC) No 882/2004.
- (28) Pending the establishment of the Community list, provision should be made for the evaluation and approval of flavouring substances which are not covered by the evaluation programme provided for in Regulation (EC) No 2232/96. A transitional regime should therefore be laid down. Under that regime such flavouring substances should be evaluated and approved in accordance with the procedure laid down in Regulation (EC) No [procedural Regulation]. However the time periods provided for in that Regulation for the Authority to adopt its opinion and for the Commission to submit a draft Regulation updating the Community list to the Standing Committee on the Food Chain and Animal Health should not apply, because priority should be given to the ongoing evaluation programme.
- (29) Since the objective of the action to be taken, namely to lay down Community rules on the use of flavourings and certain food ingredients with flavouring properties in and on foods, cannot be sufficiently achieved by the Member States and can therefore, by reason of market unity and high level of consumer protection, be better achieved at Community level, the Community may adopt measures in accordance with the principle of subsidiary as set out in Article 5 of the Treaty. In accordance with the principle of proportionality, as set out in that Article, this Regulation does not go beyond what is necessary in order to achieve those objectives.

²⁰ OJ L 165, 30.4.2004, p. 1. Corrected version (OJ L 191, 28.5.2004, p. 1).

- (30) Council Regulation (EEC) No 1576/89 of 29 May 1989 laying down general rules on the definition, description and presentation of spirit drinks²¹ and Council Regulation (EEC) No 1601/91 of 10 June 1991 laying down general rules on the definition, description and presentation of aromatised wines, aromatised wine-based drinks and aromatised wine-product cocktails²² need to be adapted to certain new definitions laid down in the present Regulation.
- (31) Regulations (EEC) No 1576/89, (EEC) No 1601/91 and (EC) No 2232/96 and Directive 2000/13/EC should be amended accordingly.

HAVE ADOPTED THIS REGULATION:

CHAPTER I

SUBJECT MATTER, SCOPE AND DEFINITIONS

Article 1 Subject matter

This Regulation lays down rules on flavourings and food ingredients with flavouring properties for use in and on foods to ensure the effective functioning of the internal market and a high level of protection of human health and protection of consumers' interests, including fair practices in food trade, taking account of, where appropriate, the protection of the environment.

For those purposes, this Regulation provides for:

- (a) a Community list of flavourings and source materials approved for use in and on foods, set out in Annex I ('the Community list');
- (b) conditions of use of flavourings and food ingredients with flavouring properties in and on foods;
- (c) rules on labelling of flavourings.

²¹ OJ L 160, 12.6.1989, p. 1. Regulation as last amended by the 2003 Act of Accession.

²² OJ L 149, 14.6.1991, p. 1. Regulation as last amended by Regulation (EC) No 1882/2003.

Article 2 Scope

- 1. This Regulation shall apply to:
 - (a) flavourings which are used or intended to be used in or on foods, without prejudice to more specific provisions laid down in Regulation (EC) No 2065/2003;
 - (b) food ingredients with flavouring properties;
 - (c) food containing flavourings and/or food ingredients with flavouring properties;
 - (d) source materials for flavourings and/or source materials for food ingredients with flavouring properties.
- 2. This Regulation shall not apply to:
 - (a) substances which have exclusively a sweet, sour or salty taste;
 - (b) raw foods;
 - (c) non-compound foods and mixtures of spices and/or herbs, mixtures of tea and mixtures for infusion as such-as long as they have not been used as food ingredients.

Article 3 Definitions

- For the purposes of this Regulation, the definitions laid down in Regulations (EC) Nos 178/2002 and 1829/2003 shall apply.
- 2. The following definitions shall also apply:
 - (a) 'flavourings' shall mean products:
 - not intended to be consumed as such, which are added to food in order to impart odour and/or taste;
 - (ii) made or consisting of the following categories: flavouring substances, flavouring preparations, thermal process flavourings, smoke flavourings, flavour precursors or other flavourings or mixtures thereof;

- (b) 'flavouring substance' shall mean a defined chemical substance with flavouring properties;
- (c) 'natural flavouring substance' shall mean a flavouring substance obtained by appropriate physical, enzymatic or microbiological processes from material of vegetable, animal or microbiological origin either in the raw state or after processing for human consumption by one or more of the traditional food preparation processes listed in Annex II; Natural flavouring substances correspond to substances that are naturally present and have been identified in nature;
- (d) 'flavouring preparation' shall mean a product, other than a flavouring substance, obtained from:
 - food by appropriate physical, enzymatic or microbiological processes either in the raw state of the material or after processing for human consumption by one or more of the traditional food preparation processes listed in Annex II;

and/or

- material of vegetable, animal or microbiological origin, other than food, by appropriate physical, enzymatic or microbiological processes, the material being taken as such or prepared by one or more of the traditional food preparation processes listed in Annex II;
- (e) 'thermal process flavouring' shall mean a product obtained after heat treatment from a mixture of ingredients not necessarily having flavouring properties themselves, of which at least one contains nitrogen (amino) and another is a reducing sugar; the ingredients for the production of thermal process flavourings may be:
 - (i) food;
 - and/or source material other than food;
- (ii) source material other than food;
 (f) 'smoke flavouring' shall mean a product obtained by fractionation and purification of a condensed smoke yielding primary smoke condensates, primary tar fractions and/or derived smoke flavourings as defined in points (1), (2) and (4) of Article 3 of
 - Regulation (EC) No 2065/2003;

- (g) 'flavour precursor' shall mean a product, not necessarily having flavouring properties itself, intentionally added to food for the sole purpose of producing flavour by breaking down or reacting with other components during food processing; it may be obtained from:
 - (i) food; and/or
 - (ii) source material other than food;
- (h) 'other flavouring' shall mean a flavouring added or intended to be added to food in order to impart odour and/or taste and which does not fall under the definitions (b) to (g);
- (i) 'food ingredient with flavouring properties' shall mean a food ingredient other than flavourings which may be added to food for the main purpose of adding flavour to it or modifying its flavour and which contributes significantly to the presence in food of certain naturally occurring undesirable substances;
- (j) 'source material' shall mean material of vegetable, animal, microbiological or mineral origin from which flavourings or food ingredients with flavouring properties are produced; it may be:
 - (i) food;
 - or
 - (ii) source material other than food;
- (k) 'appropriate physical process' shall mean a physical process which does not intentionally modify the chemical nature of the components of the flavouring and does not involve among others the use of singlet oxygen, ozone, inorganic catalysts, metal catalysts, organometallic reagents and/or UV radiation.
- 3. For the purpose of the definitions listed in paragraph 2 (d), (e), (g) and (j), source materials for which hitherto there is significant evidence of use for the production of flavourings, are considered as food for the purpose of this Regulation.

4a. Flavourings may contain food additives as permitted by [Regulation... on food additives] and/or other food ingredients incorporated for technological purposes.

CHAPTER II

CONDITIONS FOR USE OF FLAVOURINGS, FOOD INGREDIENTS WITH FLAVOURING PROPERTIES AND SOURCE MATERIALS

Article 4

General conditions for use of flavourings or food ingredients with flavouring properties

Only flavourings or food ingredients with flavouring properties which meet the following conditions may be used in or on foods:

- (a) they do not, on the basis of the scientific evidence available, pose a safety concern to the health of the consumer and
- (b) their use does not mislead the consumer.

Article 4a Prohibition of non-compliant flavourings and/or non-compliant foodstuffs

No person shall place on the market a flavouring, or any food in which such a flavouring and/or food ingredients with flavouring properties are present if their use does not comply with this Regulation.

Article 5 Presence of certain substances

- 1. Substances listed in Part A of Annex III shall not be added as such to food.
- 2. Without prejudice to Council Regulation No 1576/89 maximum levels of certain substances, naturally present in flavourings and/or food ingredients with flavouring properties, in the compound foods listed in Part B of Annex III shall not be exceeded as a result of the use of flavourings and/or food ingredients with flavouring properties in and on those foods. The maximum levels of the substances set out in Annex III apply to foods as marketed, unless otherwise stated. By way of derogation from this principle, for dried and/or concentrated foods which need to be reconstituted the maximum levels apply to the food as reconstituted according to the instructions on the label, taking into account the minimum dilution factor.
- 3. Detailed rules for the implementation of paragraph 2 may be adopted in accordance with the procedure referred to in Article 18(2), following the opinion of the European Food Safety Authority (hereinafter referred to as the 'the Authority'), where necessary.

Article 6 *Use of certain source materials*

- 1. Source materials listed in Part A of Annex IV shall not be used for the production of flavourings and/or food ingredients with flavouring properties.
- 2. Flavourings and/or food ingredients with flavouring properties produced from source materials listed in Part B of Annex IV may only be used under the conditions indicated in that Annex.

Article 7

Flavourings and food ingredients with flavouring properties for which an evaluation and approval is not required

- 1. The following flavourings and food ingredients with flavouring properties may be used in or on foods without an approval under this Regulation, provided that they comply with Article 4:
 - (a) flavouring preparations referred to in Article 3(2)(d)(i);
 - (b) thermal process flavourings referred to in Article 3(2)(e)(i) and which comply with the conditions for the production of thermal process flavourings and maximum levels for certain substances in thermal process flavourings set out in Annex V;
 - (c) flavour precursors referred to in Article 3(2)(g)(i);
 - (d) food ingredients with flavouring properties.
- Notwithstanding paragraph 1, if the Commission, a Member State or the Authority expresses doubts concerning the safety of a flavouring or food ingredient with flavouring properties referred to in paragraph 1, a risk assessment of such flavouring or food ingredient with flavouring properties shall be carried out by the Authority. Articles 4 to 6 of Regulation (EC) No [procedural Regulation] shall then apply *mutatis mutandis*. If necessary, the Commission shall adopt measures, following the opinion of the Authority, which are designed to amend non-essential elements of this Regulation, inter alia by supplementing it, in accordance with the regulatory procedure with scrutiny referred to in Article 18(3). Such measures shall be laid down in Annexes III, IV and/or V where

appropriate. On imperative grounds of urgency, the Commission may use the urgency procedure referred to in Article 18 (4).

CHAPTER III

COMMUNITY LIST OF FLAVOURINGS AND SOURCE MATERIALS APPROVED FOR USE IN OR ON FOODS

Article 8 Flavourings and source materials for which an evaluation and approval is required

The present Chapter applies to:

- (a) flavouring substances;
- (b) flavouring preparations referred to in Article 3(2)(d)(ii);
- (c) thermal process flavourings obtained by heating ingredients which fall partially or totally under Article 3(2)(e)(ii) and/or for which the conditions for the production of thermal process flavourings and/or the maximum levels for certain undesirable substances set out in Annex V are not met;
- (d) flavour precursors referred to in Article 3(2)(g)(ii);
- (e) other flavourings referred to in Article 3(2)(h);
- (f) source materials other than food referred to in Article 3(2)(j)(ii).

Article 9 Community list of flavourings and source materials

Of the flavourings and source materials referred to in Article 8, only those included in the Community list may be placed on the market as such and used in or on foods under the conditions of use specified therein, where applicable.

Article 10 Inclusion of flavourings and source materials in the Community list

- 1. A flavouring or source material may be included in the Community list, in accordance with the procedure laid down by Regulation (EC) No [common procedure], only if it complies with the conditions set out in Article 4.
- 2. The entry for a flavouring or source material in the Community list shall specify:
 - (a) the identification of the flavouring or the source material approved;
 - (b) where necessary, the conditions under which the flavouring may be used.
- The Community list shall be amended in accordance with the procedure referred to in Regulation (EC) No [...] establishing a common authorisation procedure for food additives, food enzymes and food flavourings.

Article 11 Flavourings or source materials falling within the scope of Regulation (EC) No 1829/2003

A flavouring or source material falling within the scope of Regulation (EC) No 1829/2003 may be included in the Community list in Annex I in accordance with the present Regulation only when it is covered by an authorisation in accordance with Regulation (EC) No 1829/2003.

Article 11a (new) Interpretation decisions

Where necessary, it may be decided in accordance with the procedure referred to in Article 18(2) as to:

- a) whether or not a given substance or mixture of substances, material or type of food falls within the categories in Article 2 (1) of this Regulation;
- b) which specific category listed in Article 3 paragraph 2 (b) to (j) a given substance belongs.
- c) whether or not a particular product belongs to a food category or is a food referred to in Annex I or Annex III B.

CHAPTER IV

LABELLING

Article 12 Labelling of flavourings not intended for sale to the final consumer

- 1. Flavourings not intended for sale to the final consumer may be marketed only if they comply with the labelling provided for in Articles 13 and 14, which must be easily visible, clearly legible and indelible. The information provided for in Article 13 shall be in a language easily understandable to purchasers.
- 2. Within its own territory, the Member State in which the product is marketed may, in accordance with the rules of the Treaty, stipulate that this information shall be given in one or more of the official languages of the Community, to be determined by that Member State. This shall not preclude such information from being indicated in several languages.

Article 13

General labelling requirements for flavourings not intended for sale to the final consumer

- 1. Where flavourings not intended for sale to the final consumer are sold singly or mixed with each other and/or with other food ingredients and/or to which other substances are added in accordance with Article 3 (4a), their packaging or containers shall bear the following information:
- (a) the sales description: either the word 'flavouring' or a more specific name or description of the flavouring;
- (b) the statement either 'for food' or the statement 'restricted use in food' or a more specific reference to its intended food us
- (c) if necessary, the special conditions for storage and/or use;
- (d) a mark identifying the batch or lot;
- (e) a list in descending order of weight of:
 - (i) the categories of flavourings present; and
 - (ii) the names of each of the other substances or materials in the product or, where appropriate, their E-number;
- (f) the name or business name and address of the manufacturer or packager, or of a seller;
- (g) an indication of the maximum quantity of each component or group of components subject to quantitative limitation in food and/or appropriate information in clear and easily understandable terms enabling the purchaser to comply with this Regulation or other relevant Community legislation;
- (h) the net quantity;
- (i) a date of minimum durability or use-by-date;
- (j) where relevant, information on a flavouring or other substances referred to in this Article and listed in Annex III a to Directive 2000/13/EC as regards the indication of the ingredients present in foodstuffs.

- 2. By way of derogation from paragraph 1, the information required in points (e) and (g) of that paragraph may appear merely on the documents relating to the consignment which are to be supplied with or prior to the delivery, provided that the indication 'not for retail sale' appears on an easily visible part of the packaging or container of the product in question.
- 3. By way of derogation from paragraph 1 of this article, where *flavourings* are supplied in tankers all of the information may appear merely on the accompanying documents relating to the consignment which are to be supplied with the delivery.

Article 14 Specific requirements for use of the term "natural"

- 1. If the term 'natural' is used to describe a flavouring in the sales description referred to in Article 13(1)(a) the provisions in paragraphs 2 to 6 shall apply.
- 2. The term 'natural' for the description of a flavouring may only be used if the flavouring component comprises only flavouring preparations and/or natural flavouring substances.
- 3. The term "natural flavouring substance(s)" may only be used for flavourings in which the flavouring component contains exclusively natural flavouring substances.
- 4. The term 'natural' may only be used in combination with a reference to a food, food category or a vegetable or animal flavouring source, if the flavouring component has been obtained exclusively or by at least 95% by w/w from the source material referred to. The maximum of 5% (w/w) of the flavouring component derived from other source materials shall not reproduce the flavour of the source material referred to.

The description shall read "natural << food(s) or food category or source(s)>> flavouring".

- 5. "Natural <<food(s) or food category or source(s)>> flavouring with other natural flavourings" may only be used if the flavouring component is partially derived from the source material referred to, the flavour of which can easily be recognised.
- 6. The term "natural flavouring" may only be used if the flavouring component is derived from different source materials and where a reference to the source materials would not reflect their flavour or taste.

Article 15 Labelling of flavourings intended for sale to the final consumer

- Without prejudice to Directive 2000/13/EC, Directive 89/396/EEC²³ and to Regulation (EC) No 1829/2003, flavourings sold singly or mixed with each other and/or with other food ingredients and/or to which other substances are added intended for sale to the final consumer may be marketed only if their packaging contains the statement either 'for food' or the statement 'restricted use in food' or a more specific reference to its intended food use, which must be easily visible, clearly legible and indelible.
- 2. If the term 'natural' is used to describe a flavouring in the sales description referred to in Article 13(1)(a), Article 14 shall apply.

Article 15a Other labelling requirements

Articles 12 to 15 shall apply without prejudice to more detailed or more extensive laws, regulations or administrative provisions regarding weights and measures or applying to the presentation, classification, packaging and labelling of dangerous substances and preparations or applying to the transport of such substances.

²³ OJ L 186, 30.06.1989, p. 21-22.

CHAPTER V

PROCEDURAL PROVISIONS AND IMPLEMENTATION

Article 16 Reporting by the food business operators

- A producer or user of a flavouring substance, or their representative, shall, at the request of the Commission, inform it of the amount of the substance added to foods in the Community in a period of 12 months as well as the use levels for specific food categories in the Community. Such information shall be made available to Member States by the Commission.
- 1a. Where applicable, for a flavouring already approved under this Regulation which is prepared by production methods or starting materials significantly different from those included in the risk assessment of the Authority, before marketing the flavouring a producer or user shall submit to the Commission the necessary data to allow an evaluation of the flavouring with regard to the modified production method or characteristics to be undertaken by the Authority.
- 1b. A producer or user of flavourings and/or source materials shall inform the Commission immediately of any new scientific or technical information which might affect the assessment of the safety of the flavouring and/or source materials.
- 2. Detailed rules for the implementation of paragraph 1 shall be adopted in accordance with the procedure referred to in Article 18(2).

Article 17 Monitoring and reporting by the Member States

- Member States shall establish systems to monitor the consumption and use of flavourings set out in the Community list and the consumption of the substances listed in Annex III on a risk based approach and report their findings with appropriate frequency to the Commission and to the Authority.
- 2. After the Authority has been consulted, a common methodology for the gathering of information by the Member States on the consumption and use of flavourings set out in the Community list and of the substances listed in Annex III shall be adopted in accordance with the procedure referred to in Article 18(2) within two years after entry into force of this Regulation.

Article 18 Committee

- 1. The Commission shall be assisted by the Standing Committee on the Food Chain and Animal Health (hereinafter referred to as 'the Committee').
- Where reference is made to this paragraph, Articles 5 and 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.
 The period laid down in Article 5(6) of Decision 1999/468/EC shall be set at three months.

- 3. Where reference is made to this paragraph, Article 5a(1) to (4), and Article 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.
- 4. Where reference is made to this paragraph, Article 5a(1), (2), (4) and (6), and Article 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.

Article 19 Amendments to Annexes II to V

Amendments to Annexes II to V to this Regulation to reflect scientific and technical progress which are designed to amend non-essential elements of this Regulation shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3), following the opinion of the Authority, where necessary.

On imperative grounds of urgency, the Commission may use the urgency procedure referred to in Article 18 (4).

Article 20 Community financing of harmonised policies

The legal basis for the financing of measures resulting from this Regulation is Article 66(1)(c) of Regulation (EC) No 882/2004.

CHAPTER VI

TRANSITIONAL AND FINAL PROVISIONS

Article 21 Repeals

- 1. Directive 88/388/EEC, Decision 88/389/EEC and Directive 91/71/EEC are repealed from the date of the application referred to in Article 27, paragraph 2.
- Regulation (EC) No 2232/96 is repealed from the date of application of the list referred to in Article 2(2) of that Regulation.
- 3. References to the repealed acts shall be construed as references to this Regulation.

Article 22

Introduction of the list of flavouring substances in the Community list of flavourings and source materials and transitional regime

- The Community list shall be established by introducing the list of flavouring substances referred to in Article 2(2) of Regulation (EC) No 2232/96 in Annex I to this Regulation at the time of its adoption.
- Until the establishment of the Community list, Regulation (EC) No [...] [the common procedure] shall apply for the evaluation and approval of flavouring substances which are not covered by the evaluation programme provided for in Article 4 of Regulation (EC) No 2232/96.

By way of derogation from that procedure, the time periods of six months and nine months referred to in Article 5(1) and Article 7 of Regulation (EC) No [...] [the common procedure] shall not apply to such evaluation and approval.

3. Any appropriate transitional measures which are designed to amend non-essential elements of this Regulation, inter alia by supplementing it shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

Article 23 Amendment to Regulation (EEC) No 1576/89

Regulation (EEC) No 1576/89 is hereby amended as follows:

- 1. Article 1(4)(m) is amended as follows:
 - (a) In point (1)(a), the second subparagraph shall be replaced by the following:
 "Other flavouring substances as defined in Article 3(2)(b) of Regulation (EC) No [...], and/or aromatic plants or parts of aromatic plants may be used in addition, but the organoleptic characteristics of juniper must be discernible, even if they are sometimes attenuated;"
 - (b) Point 2(a) shall be replaced by the following:

"The drink may be called '*gin*' if it is produced by flavouring organoleptically suitable ethyl alcohol of agricultural origin with flavouring substances as defined in Article 3(2)(b) of Regulation (EC) No [...] and/or flavouring preparations as defined in Article 3(2)(d) of that Regulation so that the taste is predominantly that of juniper;"

- (c) In point 2(b), the first subparagraph shall be replaced by the following: "The drink may be called 'distilled *gin*' if it is produced solely by redistilling organoleptically suitable ethyl alcohol of agricultural origin of an appropriate quality with an initial alcoholic strength of at least 96 % vol in stills traditionally used for gin, in the presence of juniper berries and of other natural botanicals provided that the juniper taste is predominant. The term 'distilled *gin*' may also apply to a mixture of the product of such distillation and ethyl alcohol of agricultural origin with the same composition, purity and alcoholic strength. Flavouring substances as defined in Article 3(2)(b) of Regulation (EC) No [...] and/or flavouring preparations as specified at (a) may also be used to flavour distilled *gin*. *London gin* is a type of distilled *gin*."
- In Article 1(4)(n)(1), the second subparagraph shall be replaced by the following:
 "Other flavouring substances as defined in Article 3(2)(b) of Regulation (EC) No [...] and/or flavouring preparations as defined in Article 3(2)(d) of that Regulation may additionally be used but there must be a predominant taste of caraway."
- In Article 1(4)(p), the first subparagraph shall be replaced by the following:
 "Spirit drinks with a predominantly bitter taste produced by flavouring ethyl alcohol of agricultural origin with flavouring substances as defined in Article 3(2)(b) of Regulation (EC) No [...] and/or flavouring preparations as defined in Article 3(2)(d) of that Regulation."
- 4. In Article 1(4)(u), the first subparagraph shall be replaced by the following: "A spirit drink produced by flavouring ethyl alcohol of agricultural origin with flavouring of cloves and/or cinnamon using one of the following processes: maceration and/ or distillation, redistillation of the alcohol in the presence of parts of the plants specified above, addition of flavouring substances as defined in Article 3(2)(b) of Regulation (EC) No [...] of cloves or cinnamon or a combination of these methods."

5. In Article 4(5), the first and second paragraphs, excluding the lists in points (a) and (b), shall be replaced by the following:

"Only natural flavouring substances and flavouring preparations as defined in Article 3(2) (c) and (d) of Regulation (EC) No [...] may be used in the preparation of the spirit drinks defined in Article 1(4), except in the case of those defined in Article 1 (4) (m), (n) and (p). However, flavouring substances as defined in Article 3(2) (b) of Regulation (EC) No [...] shall be authorized in liqueurs except those mentioned below:"

Article 24 Amendment to Regulation (EEC) No 1601/91

Article 2(1) is hereby amended as follows:

- 1. In point (a), the first sub-indent of the third indent shall be replaced by the following:
 - "- flavouring substances and/or flavouring preparations as defined in Article 3(2)(b) and(d) of Regulation (EC) No [...], and/or";
- 2. In point (b), the first sub-indent of the second indent shall be replaced by the following:
 - "- flavouring substances and/or flavouring preparations as defined in Article 3(2)(b) and(d) of Regulation (EC) No [...], and/or";
- 3. In point (c), the first sub-indent of the second indent shall be replaced by the following:
 - "- flavouring substances and/or flavouring preparations as defined in Article 3(2)(b) and(d) of Regulation (EC) No [...], and/or".

Article 25 Amendment to Regulation (EC) No 2232/96

In Article 5 of Regulation (EC) No 2232/96, paragraph 1 shall be replaced by the following:

"1. The list of flavouring substances referred to in Article 2(2) shall be adopted in accordance with the procedure referred to in Article 7 by 31 December 2008 at the latest.".

Article 26 Amendment to Directive 2000/13/EC

In Directive 2000/13/EC, Annex III shall be replaced by the following:

"Annex III

DESIGNATION OF FLAVOURINGS IN THE LIST OF INGREDIENTS

- Without prejudice to paragraph 2, flavourings shall be designated by the terms "flavourings" or a more specific name or description of the flavouring, if the flavouring component contains flavourings as defined in Article 3(2)(b), (c), (d), (e), (f), (g) and (h) of Regulation (EC) No [...] of the European Parliament and of the Council²⁴ [Regulation on flavourings];
 - "smoke flavouring(s)" if the flavouring component contains flavourings as defined in Article 3 (2) (f) of Regulation EC No [...] [Regulation on flavourings] and imparts a smoky flavour to the food.
- 2. The use of the term 'natural' for the description of flavourings shall be in accordance with Article 14 of Regulation (EC) No [...] [Regulation on flavourings].".

²⁴ OJ L [...], [...], [...]

Article 27 Entry into force

- 1. This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.
- 2. It shall apply from [please insert date] [24 months after entry into force].
- 3. Articles 9, 23 and 24 shall apply from the date of application of the Community list.
- 4. Article 19 shall apply from the date of the entry into force of this Regulation.
 Foods lawfully placed on the market or labelled prior to [24 months after the entry into force of this Regulation] which do not comply with this Regulation may be marketed until their date of minimum durability.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels,

For the European Parliament The President For the Council The President

ANNEX I

Community list of flavourings and source materials approved for use in and on foods

TG/vr

<u>ANNEX II</u>

List of traditional food preparation processes

Chopping	Coating
Heating, cooking, baking, frying (up to	Cooling
240°C at atmospheric pressure) and pressure	
cooking (up to 120 °C)	
Cutting	Distillation / rectification
Drying	Emulsification
Evaporation	Extraction, incl. solvent extraction in
	accordance with Directive 88/344/EEC
Fermentation	Filtration
Grinding	
Infusion	Maceration
Microbiological processes	Mixing
Peeling	Percolation
Pressing	Refrigeration/Freezing
Roasting / Grilling	Squeezing
Steeping	

ANNEX III

Presence of certain substances

Part A: Substances which shall not be added as such to food

Agaric acid Aloin Capsaicin 1,2-Benzopyrone, coumarin Hypericine Beta-asarone 1-Allyl-4-methoxybenzene, estragole Hydrocyanic acid Menthofuran 4-Allyl-1,2-dimethoxybenzene, methyleugenol Pulegone Quassin 1-Allyl-3,4-methylene dioxy benzene, safrole Teucrin A Thujone (alpha and beta) Part B: Maximum levels of certain substances, naturally present in flavourings and food ingredients with flavouring properties, in certain compound food as consumed to which flavourings and/or food ingredients with flavouring properties have been added.

These maximum levels shall not apply to compound foods which are prepared and consumed on the same site, contain no added flavourings and contain only herbs and spices as food ingredients with flavouring properties.

Name of the	Compound food in which the presence of	Maximum level
substance	the substance is restricted	[mg/kg]
Beta-asarone	Alcoholic beverages	1.0
1-Allyl-4-	Dairy products	50
methoxybenzene,	Processed fruits, vegetables (incl.	50
estragol	mushrooms, fungi, roots, tubers, pulses and	
	legumes), nuts and seeds	
	Fish products	50
	Non-alcoholic beverages	10
Hydrocyanic acid	Nougat, marzipan or its substitutes or	50
	similar products	
	Canned stone fruits	5
	Alcoholic beverages	35
Menthofuran	Mint/peppermint containing confectionery,	500
	except micro breath freshening	
	confectionery	
	Micro breath freshening confectionery	3000
	Chewing gum	1000
	Mint/peppermint containing alcoholic	200
	beverages	

4-Allyl-1,2-	Dairy products	20
dimethoxy-	Meat preparations and meat products,	15
benzene,	including poultry and game	
methyleugenol	Fish preparations and fish products	10
	Soups and sauces	60
	Ready-to-eat savouries	20
	Non-alcoholic beverages	1
Pulegone	Mint/peppermint containing confectionery,	250
	except micro breath freshening	
	confectionery	
	Micro breath freshening confectionery	2000
	Chewing gum	350
	Mint/peppermint containing non-alcoholic	20
	beverages	
	Mint/peppermint containing alcoholic	100
	beverages	
Quassin	Non-alcoholic beverages	0,5
	Bakery wares	1
	Alcoholic beverages	1.5
1-Allyl-3,4-	Meat preparations and meat products,	15
methylene dioxy	including poultry and game	
benzene, safrole	Fish preparations and fish products	15
	Soups and sauces	25
	Non-alcoholic beverages	1
	Bitter-tasting spirit drinks or bitter ²⁵	5
TEUCRIN A	Liqueurs ²⁶ with a bitter taste	5
	Other alcoholic beverages	2

 $[\]frac{25}{26}$ As defined by article 1.4 (p) of EC Regulation 1576/89 As defined by article 1.4 (r) of EC Regulation 1576/89

Thujone (alpha and	Alcoholic beverages, except those produced	10
beta)	from Artemisia species	
	Alcoholic beverages produced from	35
	Artemisia species	
	Non-alcoholic beverages produced from	0,5
	Artemisia species	
	Traditional and/or seasonal bakery ware	50 mg/kg
COUMARIN	containing cinnamon in the labelling	
	"Breakfast cereals" including muesli	20 mg/kg
	Fine bakery ware with exception of	15 mg/kg
	traditional and/or seasonal bakery ware	
	containing cinnamon in the labelling	
	Desserts	5 mg/kg

ANNEX IV

<u>List of source materials to which restrictions apply for their use in the production of</u> <u>flavourings and food ingredients with flavouring properties</u>

Part A: Source materials which shall not be used for the production of flavourings and food ingredients with flavouring properties

Source material	
Latin name	Common name
Tetraploid form of Acorus calamus	Tetraploid form of Calamus

Part B: Conditions of use for flavourings and food ingredients with flavouring properties produced from certain source materials

Source material		Conditions of use	
Latin name	Common name		
Quassia amara L.	Quassia	Flavourings and food ingredients with	
and		flavouring properties produced from the	
Picrasma excelsa		source material may only be used for the	
(Sw)		production of beverages and bakery wares.	
Laricifomes	White agaric mushroom	Flavourings and food ingredients with	
officinales (Vill.: Fr)		flavouring properties produced from the	
Kotl. et Pouz		source material may only be used for the	
or		production of alcoholic beverages	
Fomes officinalis			
Hypericum	St Johns wort		
perforatum			
Teucrium	Wall germander		
chamaedrys			

ANNEX V

<u>Conditions for the production of thermal process flavourings and maximum levels for certain</u> substances in thermal process flavourings

Part A: Conditions for the production:

- (a) The temperature of the products during processing shall not exceed 180°C.
- (b) The duration of the thermal processing shall not exceed 15 minutes at 180°C with correspondingly longer times at lower temperatures, i.e. a doubling of the heating time for each decrease of temperature by 10°C, up to a maximum of 12 hours.
- (c) The pH during processing should not exceed the value of 8,0.

Part B: Maximum levels for certain substances

Substance	Maximum levels
Substance	μg / kg
2-amino-3,4,8-trimethylimidazo [4,5-f] quinoxaline (4,8-DiMeIQx)	50
2-amino-1-methyl-6-phenylimidazol [4,5-b]pyridine (PhIP)	50

ANNEX VI

Draft Joint Statement

The Council and the Commission undertake to reconsider the maximum levels for coumarin set out in Annex III B as soon as the advice (expected in the first half of 2008) of the European Food Safety Authority becomes available.

To this end, the Council invites the Commission to convene a meeting of experts without delay after the advice of the Authority is issued in order to examine the technical aspects.